Distributional proving problems

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(based on results of E.A.H., D. Itsykson, I. Monakhov, V. Nikolaenko, A. Smal, D. Sokolov)

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- Given a problem, even if there is no fast algorithm, what is the best one?
- Levin's optimal algorithm for **NP** search problems is known since 1973:
 - Run all algorithms A_1, A_2, \ldots "in parallel".
 - Once A_i returns a satisfying assignment, verify it.

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► The best we can do is **E** \ **P**, for immune sets [Messner; Chen,Flum,Müller].

Distributional proving problem (D, L) consists of

- ► a language *L* of "theorems",
- ▶ a polynomial-time samplable distribution $D = \{D_n\}_{n \in \mathbb{N}}$ on \overline{L} .

Motivation:

- a small (wrt D) amount of wrong theorems is acceptable;
- not interested in what happens on statements that are not claimed;
- ▶ polynomial-time samplable distributions are concentrated on NP languages, thus the definition is natural for L ∈ co-NP.

Related concepts

Distributional problems

- A distribution on all inputs.
- Gives no information about the problem.

Distributional proving problems

- A distribution on negative instances.
- Allows to verify an algorithm on counterexamples.
- There are natural polynomial-time samplable distributions on all negative instances (e.g., planted SAT).

PAC learning

- ► A distribution providing correct answers.
- Allows to verify an algorithm on all samples.
- Polynomial-time samplable distributions on all inputs are unlikely to exist for NP-complete problems.

Definition

(Classical) acceptor A for L:

(completeness) A accepts every $x \in L$.

(correctness) A does not stop on any $x \notin L$.

Complexity parameter: running time on L.

Distributional proving problem (D, L) consists of a language L of "theorems" and a polynomial-time samplable distribution $D = \{D_n\}_{n \in \mathbb{N}}$ on \overline{L} .

Definition

Heuristic acceptor A(x, d) for (D, L): (*d* is the desired "confidence") (completeness) A(x, d) accepts every $x \in L$:

(correctness) A(r, d) makes few errors w.r.t. $r \leftarrow D_n$:

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- Time $\tau_A(x, d)$ is a random variable.
- ▶ $t_A(x, d)$ is the median (w.r.t. random bits) running time of A(x, d).
- ▶ Polynomial time ~ polynomial in |x| and d.

Heuristic acceptors Are there hard problems?

Theorem

 \exists polynomial-time samplable $D \exists L \in \mathbf{co} - \mathbf{NP} \not\exists$ polynomial-time heuristic acceptor for $(D, L) \iff \exists$ infinitely-often one-way function.

Proof.

 i.o. o.w.f. ⇒ i.o. PRG (similar to [Håstad, Impagliazzo, Levin, Luby])

- ▶ i.o. PRG ⇒ hard problem for heuristic acceptors (hint: PRG is a distribution)
- ► hard problem for heuristic acceptors ⇒ average-case o.w.f. (hint: the sampler is difficult to invert)
- ► average-case o.w.f. ⇒ i.o. o.w.f. (padding)

Definition

(Classical) acceptor S simulates W if it runs almost as fast for each x, i.e., there is a polynomial p such that $\forall x \in L$, $t_S(x) \leq p(t_W(x) \cdot |x|)$).

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Idea: Certify A_i by testing it on samples $x \leftarrow D_n$.

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For each i ≤ log |x| in parallel:
 1. Execute A_i(x, d').

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- For each $i \leq \log |x|$ in parallel:
 - 1. Execute $A_i(x, d')$.
 - 2. If it accepts (in T_i steps), test its correctness:

let $E_i = 0$ and execute k times:

▶
$$r \leftarrow D_{|x|}$$
,
▶ if $A_i(r, d') = 1$ in T_i steps, then $E_i := E_i + 1$;

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Let d' = 4d|x|, $k = 2d^3|x|^3$, $\delta = \frac{1}{2d|x|}$.

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Heuristic proof system for (D, L) is a polynomial-time Π such that (completeness) There is a proof accepted whp: $\forall x \in L \ \forall d \in \mathbb{N} \ \exists w \quad \Pr\{\Pi(x, w, d) = 1\} > \frac{1}{2}.$ (Such *w* is a Π -proof with confidence *d*.) (correctness) Most non-theorems don't have such proofs: $\Pr_{r \leftarrow D_n}\{\exists w \ \{\Pr\{\Pi(r, w, d) = 1\} > \frac{1}{8}\}\} < \frac{1}{d}.$

Turning **AM** protocols into heuristic proof systems

- ► Assume $L \in AM$.
 - (E.g., L = GNI, D samples random isomorphic graphs.)
- Consider a protocol (A, M) for L (w.l.o.g., with perfect completeness and exponentially small error):

$$\begin{array}{ll} x \in L & \Longrightarrow & \forall r \; \exists w \; A(x,w,r) = 1, \\ x \notin L & \Longrightarrow \; \Pr\{\exists w \; A(x,w,r) = 1\} < 2^{-|x|}. \end{array}$$

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- Consider L' = {(x, r) | x ∈ L}, where the length of r is enough to make the public random choices.
- Consider D' = D × U, where D is any "original" distribution on L and U is the uniform distribution.

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Theorem (Itsykson, Sokolov)

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(1) (L', D') has a polynomially bounded heuristic p.s.

▶ Proof: Simulate A (first round) using r.

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Question: Is there a classical polynomially bounded proof system for L'? **Answer:**

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(1) (L', D') has a polynomially bounded heuristic p.s. (2) if $L' \in NP$, then $L \in NP$.

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Implies randomized heuristic algorithm for (L, D).

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(1) (L', D') has a polynomially bounded heuristic p.s.
(2) if L' ∈ NP, then L ∈ NP.
(3) if (L', D') has polynomial-time heuristic acceptor, then (L, D) does. 10/15

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Theorem (Itsykson, Sokolov)

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Optimal proof systems Classical case

A proof system Σ simulates a proof system Ω iff
 Σ-proofs are at most as long as Ω-proofs (up to a polynomial p):

 $\forall F \in L | \text{shortest } \Sigma \text{-proof of } F | \leq p(| \text{shortest } \Omega \text{-proof of } F |, |F|).$

- *p*-simulation is a constructive version: For any *w*-size Ω-proof, one can compute a *p*(*w*)-size Σ-proof in polynomial time.
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Theorem

∃ p-optimal proof system ⇐⇒ ∃ optimal acceptor.
 For TAUT: [Krajícek, Pudlák].
 For paddable languages: [Messner].
 For co-NP-complete languages: [Chen, Flüm, Müller].

From acceptors to proof systems

Definition

L is paddable if there is an injective non-length-decreasing polynomial-time padding function $\text{pad}_L \colon \{0,1\}^* \times \{0,1\}^* \to \{0,1\}^*$ that is polynomial-time invertible on its image and such that $\forall x, w \ (x \in L \iff \text{pad}_L(x, w) \in L)$.

Optimal proof [Messner, 99]:

- A proof π of x in some system Π ;
- padding.

Verification:

- run optimal acceptor on $pad_L(x, \pi)$;
- ▶ for a correct proof π , it accepts in a polynomial time because for a correct system Π , the set $\{\text{pad}_L(x,\pi) \mid x \in L, \ \Pi(x,\pi) = 1\} \subseteq L$ can be accepted in a polynomial time.

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Applicability:

- Messner's proof goes for randomized algorithms.
- Does not go for heuristic, average-case algorithms.

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 - $\exists \text{ polynomial } p \ \forall x$

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pointwise simulation A ≺ B:
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▶ (weaker) average-case simulation $\mathcal{A} \prec_D \mathcal{B}$ w.r.t. D: $\forall \epsilon > 0 \exists c > 0$

$$\mathop{\mathbf{E}}_{x\leftarrow D_n}[t_{\mathcal{A}}{}^c(x)] = O(n \mathop{\mathbf{E}}_{y\leftarrow D_n}[t_{\mathcal{B}}{}^c(y)])$$

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- (weaker) simulation scheme: simulate everywhere except for the set of D-prob. 1/2d.
- (yet weaker!) worst-case simulation A ≺_{wc} B:
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 - worst-case (and stronger) optimal randomized acceptor for GNI: verification by Goldwasser-Micali-Sipser protocol.

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 - worst-case optimal acceptor for NP-complete problems: Levin's universal search + self-to-decision reduction.
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 - pointwise-optimal acceptor for Time(f)-immune sets [Messner], pointwise-optimal algorithm for bi-immune sets [Chen,Flum,Müller].

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Complexity measure = time(n, d).

Errorless average-case complexity: estimate **E** or give up with *D*-prob. 1/d.

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- Same problem, solved by heuristic algorithms: allow false negatives and positives with D-prob. 1/d.
 - > pointwise optimal randomized *algorithm* for **Im** of an injective function,
 - ▶ "scheme-optimal" deterministic *algorithm* for —"—".

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- ► Same problem, solved by acceptors: complexity measure = time on *L*.
 - worst-case optimal acceptor for NP-complete problems: Levin's universal search + self-to-decision reduction.
 - worst-case (and stronger) optimal randomized acceptor for GNI.
 - ▶ pointwise-optimal acceptor, algorithm for a set in $E \setminus P$.
- ▶ Distributional problem (D, L): is $x \in L$ with accuracy d?

Complexity measure = time(n, d).

Errorless average-case complexity: estimate **E** or give up with *D*-prob. 1/d.

- ▶ average-case optimal randomized acceptor for GNI for some D.
- Same problem, solved by heuristic algorithms: allow false negatives and positives with D-prob. 1/d.
 - > pointwise optimal randomized *algorithm* for **Im** of an injective function,
 - "scheme-optimal" deterministic algorithm for —"—"—.
- ▶ Distributional proving problem (D, L): supp $D \subseteq \overline{L}$. Solved by heuristic acceptors, may allow false positives only.
 - ▶ pointwise optimal randomized heuristic acceptor for p.-t.s. *D*, r.e. $l_{14/15}$

Open questions

- ▶ \exists optimal proof system \iff \exists optimal heuristic acceptor;
- ▶ \exists optimal heuristic proof system $\Leftrightarrow^? \exists$ optimal heuristic acceptor;
- ▶ \exists optimal proof system with advice \Leftrightarrow \exists optimal acceptor with advice;
- ► ∃ average-case optimal acceptor?
- ▶ ∃ optimal acceptor for GNI or any other $co-NP \setminus P$ problem?
- ► ∃ optimal proof system for any problem outside **P**?
- → ∃(D, L) ∈ (co-NP, PSamplable) with no polynomially-bounded heuristic
 proof system ⇔?
- ► AM protocols make deterministic (heuristic) proof systems with very small error; suggest another example: randomized and with larger error.