# Probabilistic algorithms in computability theory

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1. Introduction

### Motivation



Questions Tags

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Badges Unanswered

#### Can randomness add computability?

▲ 13 ☆ ~ I have been looking at Church's Thesis, which asserts that all intuitively computable functions are recursive. The definition of recursion does not allow for randomness, and some people have suggested exceptions to Church's Thesis based on generating random strings. For example, using randomness one can generate strings of arbitrarily high Kolmogorov complexity but this is not possible with recursion alone.

However, these exceptions are not true *functions*. They generate multiple outputs, which collectively have some property. A recursive function takes an inputs and outputs a single unique answer. So some people do not consider these random coin-flips to be true exceptions to Church's Thesis.

My question is whether it is possible to use randomness to get something which is still essentially deterministic like a function, but is non-recursive.

For example, if we had a sequence of functions  $F_i^r(n)$ , which are recursive functions relative to a random tape oracle r, which have the property that for some function g(n), we have  $F_i^r(n) = g(n)$  with probability approaching 1 as  $n \to \infty$  (the probability taken over the random tapes). Furthermore, g would not be recursive itself.

Here, I am suggesting relativizing to r, rather than having r as an input, because one might need arbitrarily many random cells. This *could* be thought of as allowing to "intuitively compute" g.

### Motivation

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- If we additionally have access to a source of randomness, can we achieve more than what we could by computable means alone?

Note: this is a computability-theoretic question. As usual in computability theory, the basic objects will be infinite binary sequences and computable = computable by Turing machine.

For the moment: source of randomness = source of (infinitely many) independent random bits with distribution (1/2, 1/2).

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The issue is that if we were to repeat this process, we would almost surely obtain some  $x' \neq x$ .

So the next question is:

## Is there a non-computable sequence *x* which can be probabilistically computed with positive probability?

By this we mean: is there an algorithm (machine) M with access to a random source r and such that

$$\mathbb{P}[M(r) = x] > 0?$$

This question is the computability-theoretic analogue of the celebrated open question of computational complexity:

$$\mathsf{BPP} \stackrel{?}{=} \mathsf{P}$$

### Derandomization in computability

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Theorem (De Leeuwe, Moore, Shannon, Shapiro - Sacks) If there is an algorithm (machine) M with access to a random source r such that  $\mathbb{P}[M(r) = x] > 0$ , then x is a computable sequence. The answer is negative: one can always derandomize.

Theorem (De Leeuwe, Moore, Shannon, Shapiro - Sacks) If there is an algorithm (machine) M with access to a random source r such that  $\mathbb{P}[M(r) = x] > 0$ , then x is a computable sequence.

Looks like this theorem is the end of the story, but it is not.

2. When randomness helps

### Solving problems probabilistically

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There are some classes C for which the answer to the first question is **no**, and the second is **yes** (obvious example: C = set of non-computable sequences).

### Solving problems probabilistically

A much less obvious example:

#### Theorem (Kurtz 1981 - Kautz 1991)

Let C be the set of functions from  $\mathbb{N}$  to  $\mathbb{N}$  (encoded as binary sequences) which are dominated by no computable function. There exists a probabilistic algorithm M such that  $\mathbb{P}[M(r) \in C] > 0$ .

[For the experts: this is a corollary of the fact that no two 2-random sequence is computably dominated]

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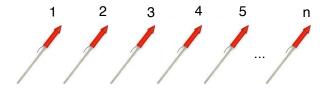
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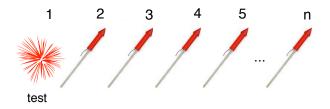
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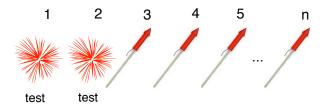
#### But what does the algorithm look like?

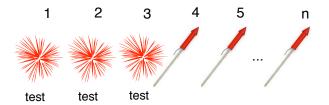
The algorithm was made more explicit by Gács and Shen (2012), using an amusing analogy: the **fireworks shop**.

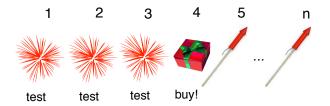
- Suppose we walk into a fireworks shop.
- The fireworks sold there are very cheap so we are suspicious they could be defective.
- Since they are so cheap, we can ask the owner to test a few before buying one.
- Our goal: **either** buy a good one (untested) and take it home **or** get the owner to fail a test (and then sue him).

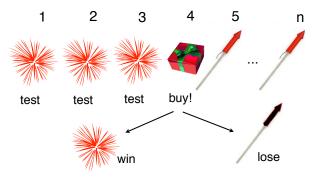












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- 1. Pick a number k at random between 1 and n+1
- 2. Test the k-1 first fireworks
- 3. Buy the k-th one (unless k = n + 1)

To see that the strategy succeeds with probability at least  $\frac{n}{n+1}$ , notice that the only bad case for us is when we pick the first bad one (convention: (n + 1)-th fireworks is bad).

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Indeed if we pick one before the first bad one, it will be good, so we win, and if we pick one after the first bad one, the first bad one will have failed the test.

### Fireworks and the Kurtz-Kautz theorem

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We apply a fireworks strategy for each  $\varphi_i$ .

### Fireworks and the Kurtz-Kautz theorem

- For each *i*, pick a number  $k_i$  between 1 and  $2^{i+1}$
- Repeat  $k_i 1$  times:
  - ► Pick the first fresh number w (on which f is not defined yet)
  - ▶ Define f(w) = 0
  - Test whether φ<sub>i</sub>(w) terminates. [While waiting, take care of other strategies for other φ<sub>j</sub>].
- If previous loop terminates, pick a final fresh *w*, pause all other strategies, wait for φ<sub>i</sub>(w) to terminate, and if it does, define f(w) = φ<sub>i</sub>(w) + 1.

Just like in the fireworks game, the only bad case is when the  $\varphi_i(w)$  is defined for all *w*'s picked during stage 2 (loop), and undefined on the *w* picked during stage 3 (final pick).

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Thus with probability at least  $1 - 2^{-i-1}$ , we guarantee that *f* is not dominated by  $\varphi_i$ .

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Thus with probability at least  $1 - 2^{-i-1}$ , we guarantee that *f* is not dominated by  $\varphi_i$ .

Over all *i*, this gives a probability of success of at least  $1 - \sum_{i} 2^{-i-1} > 0$ .

The fireworks technique is quite powerful, and can be used to solve even more difficult problems. For example, one can use the same argument to build a 1-generic.

[1-generic = infinite binary sequence which meets or (strongly) avoids every c.e. set of finite strings.]

### Fireworks and the Kurtz-Kautz theorem

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- An intuitive understanding of the construction
- ... which allows, via a careful analysis, to strengthen Kautz's original results and solve open questions:

#### Theorem (Bienvenu, Porter)

Every Demuth random computes a 1-generic.

A deeper phenomenon has been discovered recently by Barmpalias, Day and Lewis.

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Let T be a Turing functional. With probability 1 over x:

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[For the experts: it suffices to take x 2-random]

The hidden reason behind this is that when T(x) is not computable, it still contains some randomness:

- Lemma (Bienvenu, Porter / Folklore?) If x is randomly distributed, T(x) [up to small modification] follows a
  0'-computable (=limit computable) probability distribution.
- Kautz-Levin-Demuth: if a probability distribution is computable, then one can extract pure (uniform) randomness from it (modulo atoms).
- Here, the distribution is merely **0'**-computable, so we can only extract randomness in a limit-computable way.

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Therefore:

#### Theorem (Bienvenu, Porter)

If x is distributed according to a **0**<sup>2</sup>-computable distribution  $\mu$ , then  $\mu$ -almost surely, x is either an atom of  $\mu$ , or can be used to compute a 1-generic.

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 $\forall X \exists Y \Phi(X, Y)$ 

where X and Y can be encoded as infinite binary sequences.

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Examples:

- Bolzano-Weierstrass: For every sequence of reals in [0, 1], there exists a converging subsequence.
- König's lemma: Every finitely branching tree with infinitely many nodes has an infinite path.
- Ramsey's theorem: For every coloring of the pairs of integers with *k* colors, there exists an infinite, monochromatic, set of integers.

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This gives rise to natural mass problems: for a given *X*, consider the problem

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Question: when X is computable, can one generate an element of  $C_X$ :

- deterministically?
- probabilistically?

Once there **are** problems for which no computable solution exists but such a solution can be found probabilistically:

**Rainbow Ramsey Theorem**: for all coloring of pairs of integers with possibly infinitely many colors, such that every color is used at most *k* times, there exists an infinite subset of  $\mathbb{N}$  which is a rainbow (no color appears more than once).

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There is a computable coloring for which there is no computable rainbow (easy), but...

#### Theorem (Csima-Mileti, 2009)

Given a computable coloring, there exists a probabilistic algorithm which produces an infinite rainbow with positive probability. 3. When randomness does not help

## Randomness does not help... often

As one might expect, randomness does not often help: when a problem has no computable solution, it is usually the case that one cannot generate a solution with a probabilistic algorithm. As one might expect, randomness does not often help: when a problem has no computable solution, it is usually the case that one cannot generate a solution with a probabilistic algorithm.

Perhaps one of the most famous mass problems is the set of consistent completions of Peano arithmetic. We know from Gödel's theorem than there is no computable such object, and:

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Perhaps one of the most famous mass problems is the set of consistent completions of Peano arithmetic. We know from Gödel's theorem than there is no computable such object, and:

#### Theorem (Jockusch, Soare, 1972)

No probabilistic algorithm can generate a consistent completion of PA.

Another interesting example: shift-complex sequences. Levin showed that there exists an infinite binary sequence *X* and a constant *c* such that for every substring  $\sigma$  of *X*,

 $K(\sigma) \geq \textbf{0.99} |\sigma| - \textbf{c}$ 

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#### Theorem (Rumyantsev, 2011)

No probabilistic algorithm can generate a shift-complex sequence (not even for any positive  $\alpha$  instead of 0.99).

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- Run the probabilistic algorithm to see how different oracles "vote"
- Then use the universality of the class to defeat the majority of voters

The same can be done for mathematical theorems (theorem  $\forall X \exists Y \Phi(X, Y) \rightarrow \text{class } C_X$ ).

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For such results, due to (in general) the lack of universality, one needs to diagonalize against **all** probabilistic algorithms. For this, one arranges the wait-and-defeat technique in a priority construction (most of the time with finite injury).

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- What is a typical outcome of a probabilistic algorithm? (randomness w.r.t. to lower-semicomputable semimeasures)

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- What is a typical outcome of a probabilistic algorithm? (randomness w.r.t. to lower-semicomputable semimeasures)
- Invariant degrees (V'Yugin, Levin recent work by Hölzl and Porter)