

# Some remarks on the "Short lists for short programs" problem

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# BIG Question (we'll try some answers later)

- Is randomness useful?
  
- Yes, sure: Game Theory, Cryptography (randomness is in the model)
  
- What about computational tasks? Is there a computational task that can be solved with randomness, but cannot be solved without?  
(Computational task: Given an input  $x$ , find a solution  $y$  that satisfies a predicate  $P(x, y)$ )

# Is randomness useful for computational tasks?

- Common perception: “What can be done using randomness, can also be done without, but maybe slower.”
- It is now believed that  $P = BPP$ .
- If the solution of the task is unique, then we can find it by deterministic simulation.
- [de Leeuw, Moore, Shannon, Shapiro'56] If a function can be computed with probability  $\alpha > 0$ , then it is computable.

## Is randomness useful for computational tasks (2)?

- Task: Input  $n$ , Find an  $n$ -bit string  $x$  with  $C(x) \geq n$ .
- Not computable, but if we toss a coin  $n$  times, we get what we want.
- Task: on input  $x$ , find an extension  $xy$  such that  $C(xy) > C(x)$ . It has the same easy solution. We toss just a few coins.
- These examples “showing” the usefulness of randomness are trivial and non-convincing.
- The non-computability of output comes directly (or almost) from non-computability of the random coins.

## The really interesting questions:

Are there **non-trivial** tasks solvable with randomness, but not solvable without?

If YES, how **little** randomness is needed to solve a **non-trivial** task?

# Back to business...

Remarks on the “short lists for short programs” problem.

- $U$  - universal TM,  $U(p) = x$ , we say  $p$  is a program for  $x$ .
- $C(x) = \min\{|p| \mid p \text{ program for } x\}$ .
- $C(x)$  - canonical example of an **uncomputable** function.
- Finding a shortest program for  $x$ : also uncomputable.

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- **Question:** Is it possible to compute a short list containing a short program for  $x$  in short time?

- DEFINITION.  $p$  is a  $c$ -short program for  $x$  if  $U(p) = x$  and  $|p| \leq C(x) + c$ .
- DEFINITION. A function  $f$  is a list approximator for  $c$ -short programs if  $\forall x, f(x)$  is a finite list containing a  $c$ -short program for  $x$ .

# Results from [BMVZ]

- There exists a computable list approximator  $f$  for  $O(1)$ -short programs, with list size  $O(n^2)$ .
- For any computable list approximator for  $c$ -short programs, list size is  $\Omega(n^2/(c+1)^2)$ .
- There exists a **poly.-time computable** list approximator for  $O(\log n)$ -short programs, with list size  $\text{poly}(n)$ .

## Results from [BMVZ]

What about lists containing a shortest program?

Answer: It depends on the universal machine.

- For some  $U$ , any computable list containing a shortest program for  $x$  has size  $2^{n-O(1)}$ .
- For some  $U$ , there is a computable list of size  $O(n^2)$  containing a shortest program.

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See also [Z]: Short lists with short programs in short time - a short proof.

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## Theorem

*There exists an algorithm that*

*Input:  $x \in \{0, 1\}^n$ ,  $k \in \mathbf{N}$ ,  $\delta > 0$*

*Output: list of size  $\text{poly}(n/\delta)$ , each element of length  $k + O(\log(n/\delta))$*

*If  $k = C(x)$  then  $(1 - \delta)$  of the elements are programs for  $x$ .*



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From here we get the  $n$ -sized list containing a short program for  $x$  with prob.

$(1 - \delta)$ :

Run the algorithm for each  $k = 1, 2, \dots, n$  and pick one random element from each list.

**Key tool:** bipartite graphs  $G = (L, R, E \subseteq L \times R)$  with the **rich owner** property:

For any  $B \subseteq L$  of size  $|B| \approx K$ , most  $x$  in  $B$  own most of their neighbors (these neighbors are not shared with any other node from  $B$ ).

- $x \in B$  owns  $y \in N(x)$  w.r.t.  $B$  if  $N(y) \cap B = \{x\}$ .
- $x \in B$  is a rich owner if  $x$  owns  $(1 - \delta)$  of its neighbors w.r.t.  $B$ .
- $G = (L, R, E \subseteq L \times R)$  has the  $(K, a, \delta)$ -rich owner property if for all  $B$  with  $K \leq |B| \leq a \cdot K$ ,  $(1 - \delta)$  of the elements of  $B$  are rich owners w.r.t.  $B$ .

## Theorem

There exists a computable (uniformly in  $n$ ) graph with the rich owner property for  $(2^k, a = O(1), \delta)$  with:

- $L = \{0, 1\}^n$
- $R = \{0, 1\}^{k+O(\log(n/\delta))}$
- $D(\text{left degree}) = \text{poly}(n/\delta)$

Similar for poly-time  $G$  but overhead for  $R$  is  $O(\log^2(n/\delta))$  and  $D = 2^{O(\log^2(n/\delta))}$ .

We obtain our lists:

- List for  $x$ :  $N(x)$
- Any  $p \in N(x)$  owned by  $x$  w.r.t.  $B = \{x' \mid C(x') \leq k\}$  is a program for  $x$ .

How to construct  $x$  from  $p$ : Enumerate  $B$  till we find an element that owns  $p$ . This is  $x$ .

# Building graphs with the rich owner property

- Step 1: most neighbors of  $x$  are shared with only  $\text{poly}(n)$  many other nodes.
- Step 2: most most neighbors of  $x$  are shared with no other nodes.

Step 1 is done with extractors that have small entropy loss.

Step 2 is done by hashing.

## extractors

$E : \{0,1\}^n \times \{0,1\}^d \rightarrow \{0,1\}^m$  is a  $(k, \epsilon)$ -extractor if for any  $B \subseteq \{0,1\}^n$  of size  $|B| \geq 2^k$  and  $X$  unif. distrib in  $B$ , and for any  $A \subseteq \{0,1\}^m$ ,

$$|\text{Prob}(E(X, U_d) \in A) - \text{Prob}(A)| \leq \epsilon,$$

or in other words

$$\left| \frac{|E(B, A)|}{2^k \cdot 2^d} - \frac{|A|}{2^m} \right| \leq \epsilon$$

The entropy loss is  $s = k + d - m$ .

# Step 1

**GOAL** :  $\forall B \subseteq L$  with  $|B| \approx K$ , most nodes in  $B$  share most of their neighbors with only  $\text{poly}(n)$  other nodes from  $B$ .

We can view an extractor  $E$  as a bipartite graph  $G_E$  with  $L = \{0, 1\}^n$ ,  $R = \{0, 1\}^m$  and left-degree  $D = 2^d$ .

If  $E$  is a  $(k, \epsilon)$ -extractor, then for any  $B \subseteq L$  of size  $|B| \approx 2^k$ :

most  $x \in B$  share most of their neighbors with only  $O(1/\epsilon \cdot 2^s)$  other nodes in  $B$ .

By the probabilistic method: There are extractors with entropy loss  $s = O(\log(1/\epsilon))$  and log-left degree  $d = O(\log n/\epsilon)$ .

[Guruswami, Umans, Vadhan, 2009] Poly-time extractors with entropy loss  $s = O(\log(1/\epsilon))$  and log-left degree  $d = O(\log^2 n/\epsilon)$ .

So for  $1/\epsilon = \text{poly}(n)$ , we get our GOAL.



## Step 2

**GOAL: Reduce sharing most neighbors with  $\text{poly}(n)$  other nodes, to sharing them with no other nodes.**

Let  $x_1, x_2, \dots, x_{\text{poly}(n)}$  be  $n$ -bit strings.

Consider  $p_1, \dots, p_T$  the first  $T$  prime numbers, where  $T = (1/\delta) \cdot n \cdot \text{poly}(n)$ .

For every  $x_i$ , for  $(1 - \delta)$  of the  $T$  prime numbers,  $(x_i \bmod p)$  is unique in  $(x_1 \bmod p, \dots, x_{\text{poly}(n)} \bmod p)$ .

In this way, by "splitting" each edge into  $T$  new edges we reach our GOAL.

Cost: overhead of  $O(\log n)$  to the right nodes and the left degree increases by a factor of  $T = \text{poly}(n)$ , .

# Lower bounds

parameters of interest:

- $T$  = size of the list
- $r$  = number of random bits
- $c = |\text{short program}| - |\text{shortest program}|$ .

Main result:  $T = n$ ,  $r = O(\log n)$ ,  $c = O(\log n)$ .

Lower bounds: essentially, no parameter can be reduced while conserving the other two.

## lower bound on $r$

- $T$  = size of the list
- $r$  = number of random bits
- $c = |\text{short program}| - |\text{shortest program}|$ .

If  $T = n$  and  $c = O(\log n)$ , then  $r > \log n - O(\log \log n)$ .

Proof. If  $r$  would be smaller, we would deterministically get a list of size  $< n^2/c^2$ , contradicting the lower bound [BMVZ].

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Proof (by Bruno Bauwens)

$L_\rho$  = list when randomness is  $\rho$ .

$\mathcal{P}$  = set of  $c$ -short programs for  $x$ .  $\ell = |\mathcal{P}| = O(2^c)$ .

- At least half of the lists  $L_\rho$ ,  $\rho \in \{0, 1\}^r$  contain an element of  $\mathcal{P}$ .
- So some element of  $\mathcal{P}$  appears in  $1/2\ell$  of the lists.
- For each  $m = 1, 2, \dots, n$ , select strings of length between  $m$  and  $m + c$  appearing in  $1/2\ell$  of the lists. A  $c$ -short program will be here.
- Let  $s_m$  be the number of elements selected at iteration  $m$ . The elements selected at iteration  $m$  occur at least  $s_m \cdot \frac{2^r}{2\ell}$  times.
- So

$$2^r \cdot T \geq s_1 \cdot \frac{2^r}{2\ell} + s_2 \cdot \frac{2^r}{2\ell} + \dots + s_n \cdot \frac{2^r}{2\ell}.$$

- So,  $s_1 + s_2 + \dots + s_n \leq T \cdot 2\ell$ .
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Proof. If  $T$  were smaller, we could obtain a list of lengths of sublinear size containing  $C(x)$ . Contradicts lower bound from [Beigel et al. , 2006].



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Task: Given  $x \in \{0, 1\}^n$  compute a list of  $n$  elements that contains an  $(O \log n)$ -short program for  $x$ .

The task is not solvable deterministically (recall the  $\Omega(n^2/c^2)$  lower bound for  $c$ -short programs [BMVZ]).

The task can be done probabilistically, with prob. error  $\delta$ .

The number of random bits is  $O(\log n/\delta)$ .

The similar task for  $(O \log^2 n)$ -short program for  $x$  can be solved in probabilistic polynomial time with  $O(\log^2 n)$  random bits.

# Open Question

Are there non-trivial task that can be solved with  $o(\log n)$  random bits, but cannot be solved deterministically?

Task: Defined by a predicate  $P$ . Given  $x$  find a “solution”  $y$  such that  $P(x, y)$  is true.

The task is **trivial** if for some very simple function  $g$ ,  $g(x, r)$  is a solution for most  $r$

“very simple function”: projection + permutation (or maybe  $NC_0$ ).

Thank you.